



COMPLIANCE & ENFORCEMENT REPORTING

December Quarter 2020

1 October to 31 December 2020

Compliance Activities (Minerals & Petroleum)

The RER Group's Resource and Environmental Compliance Division uses an integrated approach to compliance planning and monitoring activities across its branches. In Q4 2020, the following desktop audits and on-site inspections were conducted:

Environmental Compliance Branch (Minerals)	35
Petroleum Compliance Branch (Petroleum Gas Onshore & Offshore, Hydraulic Fracture Stimulation)	6
Financial Compliance Branch (Minerals & Petroleum)	69
Title Compliance Branch – Third Party Audit Requests (Minerals & Petroleum)	14
Mining Rehabilitation Fund (Minerals)	702

Enforcement Actions (Minerals)

DMIRS uses enforcement actions to influence compliance within the mining sector. In Q4, the following were carried out:

Environmental Enforcement Actions

Directions to Modify	0
Stop Work Orders	0
Warning Letters	1
Investigative Referrals	0

Penalties Imposed

Exemption Refusal	91
Late Form 5	53
Late Mineral Exploration Reports	13
Late Rental Payment	16

Forfeiture Warnings

Notice of Intent to Forfeit	2
-----------------------------	---

Tenements Forfeited

Non-lodgement of Form 5	1
Non-payment of Rent	2
Non-payment of Penalties	1
Third Party Applications for Forfeitures	2
Under expenditure	Nil
Late lodgement of Form 5	Nil

Penalties in Lieu of Forfeiture

Nature of Breach	Learnings for Industry	Penalty
Nil		