



COMPLIANCE & ENFORCEMENT REPORTING

December Quarter 2021

1 October to 31 December 2021

Compliance Activities (Minerals & Petroleum)

The RER Group's Resource and Environmental Compliance Division uses an integrated approach to compliance planning and monitoring activities across its branches. In Q4 2021, the following desktop compliance activities and site inspections were completed:

Environmental Compliance Branch (Minerals, Petroleum and Native Vegetation)	18
Petroleum Compliance Branch (Petroleum Gas Onshore & Offshore, Hydraulic Fracture Stimulation)	17
Financial Compliance Branch (Minerals & Petroleum)	57
Title Compliance Branch – Third Party Audit Requests (Minerals & Petroleum)	15
Mining Rehabilitation Fund (Minerals)	156

Enforcement Actions (Minerals)

DMIRS uses enforcement actions to influence compliance within the mining sector. In Q4 2021, the following were carried out:

Environmental Enforcement Actions

Directions to Modify	0
Stop Work Orders	0
Warning Letters	1
Investigative Referrals	1

Forfeiture Warnings

Notice of Intent to Forfeit	221
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Penalties in Lieu of Forfeiture

TITLES COMPLIANCE	
Nature of Breach	Penalty
Failure to meet expenditure conditions	53
Late lodgement of Form 5	92
Late lodgement of Mineral Exploration Reports	6
Late payment of rent	16
Late payment of royalty	8

Penalties in Lieu of Forfeiture

ENVIRONMENTAL COMPLIANCE		
Nature of Breach	Learnings for Industry	Penalty
Track clearing in excess of approval and exploration drilling without approval	Ensure all appropriate approvals have been granted prior to undertaking clearing and mining activities.	\$18,820

Tenements Forfeited

Non-lodgement of Form 5	12
Non-payment of Rent	5
Non-payment of Penalties	8
Third Party Applications for Forfeitures	0
Failure to meet expenditure conditions	0
Late lodgement of Form 5	0
Late payment of rent	0
Late payment of royalties	0