



COMPLIANCE & ENFORCEMENT REPORTING

June Quarter 2021

1 April to 30 June 2021

Compliance Activities (Minerals & Petroleum)

The RER Group's Resource and Environmental Compliance Division uses an integrated approach to compliance planning and monitoring activities across its branches. In Q2 2021, the following desktop compliance activities and site inspections were completed:

Environmental Compliance Branch (Minerals, Petroleum and Native Vegetation)	214
Petroleum Compliance Branch (Petroleum Gas Onshore & Offshore, Hydraulic Fracture Stimulation)	39
Financial Compliance Branch (Minerals & Petroleum)	82
Title Compliance Branch – Third Party Audit Requests (Minerals & Petroleum)	45
Mining Rehabilitation Fund (Minerals)	274

Enforcement Actions (Minerals)

DMIRS uses enforcement actions to influence compliance within the mining sector. In Q2 2021, the following were carried out:

Environmental Enforcement Actions

Directions to Modify	2
Stop Work Orders	0
Warning Letters	3
Investigative Referrals	0

Penalties Imposed

Exemption Refusal	74
Late Form 5	73
Late Mineral Exploration Reports	22
Late Rental Payment	12

Forfeiture Warnings

Notice of Intent to Forfeit	212
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Tenements Forfeited

Non-lodgement of Form 5	4
Non-payment of Rent	5
Non-payment of Penalties	2
Third Party Applications for Forfeitures	0
Under expenditure	0
Late lodgement of Form 5	0

Penalties in Lieu of Forfeiture

Titles Compliance		
<i>Nature of Breach</i>		<i>Penalty</i>
Exemption Refusal		\$204,685
Expenditure (Reporting)		\$26,212
Mineral Exploration Reports		\$6,840
Rent		\$9,636
Environmental Compliance		
<i>Nature of Breach</i>	<i>Learnings for Industry</i>	<i>Penalty</i>
Mining activities undertaken without written approval on several tenements.	Ensure all appropriate approvals have been granted prior to undertaking clearing and mining activities.	\$210,517