



COMPLIANCE & ENFORCEMENT REPORTING

March Quarter 2021

1 January to 31 March 2021

Compliance Activities (Minerals & Petroleum)

The RER Group's Resource and Environmental Compliance Division uses an integrated approach to compliance planning and monitoring activities across its branches. In Q1 2021, the following desktop audits and on-site inspections were conducted:

Environmental Compliance Branch (Minerals)	41
Petroleum Compliance Branch (Petroleum Gas Onshore & Offshore, Hydraulic Fracture Stimulation)	89
Financial Compliance Branch (Minerals & Petroleum)	50
Title Compliance Branch – Third Party Audit Requests (Minerals & Petroleum)	44
Mining Rehabilitation Fund (Minerals)	560

Enforcement Actions (Minerals)

DMIRS uses enforcement actions to influence compliance within the mining sector. In Q1 2021, the following were carried out:

Environmental Enforcement Actions

Directions to Modify	0
Stop Work Orders	0
Warning Letters	1
Investigative Referrals	0

Penalties Imposed

Exemption Refusal	94
Late Form 5	73
Late Mineral Exploration Reports	17
Late Rental Payment	31

Forfeiture Warnings

Notice of Intent to Forfeit	5
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Tenements Forfeited

Non-lodgement of Form 5	4
Non-payment of Rent	10
Non-payment of Penalties	5
Third Party Applications for Forfeitures	Nil
Under expenditure	Nil
Late lodgement of Form 5	Nil

Penalties in Lieu of Forfeiture

Nature of Breach	Learnings for Industry	Penalty
Nil		