



## COMPLIANCE & ENFORCEMENT REPORTING

# September Quarter 2021

1 July to 30 September 2021

### Compliance Activities (Minerals & Petroleum)

The RER Group's Resource and Environmental Compliance Division uses an integrated approach to compliance planning and monitoring activities across its branches. In Q3 2021, the following desktop compliance activities and site inspections were completed:

|  |     |
|--|-----|
| Environmental Compliance Branch (Minerals, Petroleum and Native Vegetation)                    | 29  |
| Petroleum Compliance Branch (Petroleum Gas Onshore & Offshore, Hydraulic Fracture Stimulation) | 180 |
| Financial Compliance Branch (Minerals & Petroleum)   | 44  |
| Title Compliance Branch – Third Party Audit Requests (Minerals & Petroleum)                    | 45  |
| Mining Rehabilitation Fund (Minerals)  | 259 |

### Enforcement Actions (Minerals)

DMIRS uses enforcement actions to influence compliance within the mining sector. In Q3 2021, the following were carried out:

#### Environmental Enforcement Actions

|                         |   |
|-------------------------|---|
| Directions to Modify    | 2 |
| Stop Work Orders        | 0 |
| Warning Letters         | 7 |
| Investigative Referrals | 0 |

#### Forfeiture Warnings

|                             |   |
|-----------------------------|---|
| Notice of Intent to Forfeit | 0 |
|-----------------------------|---|

#### Penalties in Lieu of Forfeiture

| TITLES COMPLIANCE                             |         |
|---|---------|
| Nature of Breach                              | Penalty |
| Failure to meet expenditure conditions        | 63      |
| Late lodgement of Form 5                      | 79      |
| Late lodgement of Mineral Exploration Reports | 19      |
| Late payment of rent                          | 15      |
| Late payment of royalty                       | Nil     |

#### Penalties in Lieu of Forfeiture

| ENVIRONMENTAL COMPLIANCE |                        |         |
|--------------------------|------------------------|---------|
| Nature of Breach         | Learnings for Industry | Penalty |
| Nil                      |                        |         |

#### Tenements Forfeited

|  |     |
|--|-----|
| Non-lodgement of Form 5                  | 3   |
| Non-payment of Rent                      | 6   |
| Non-payment of Penalties                 | 3   |
| Third Party Applications for Forfeitures | 13  |
| Failure to meet expenditure conditions   | Nil |
| Late lodgement of Form 5                 | Nil |
| Late payment of rent                     | Nil |
| Late payment of royalties                | Nil |